



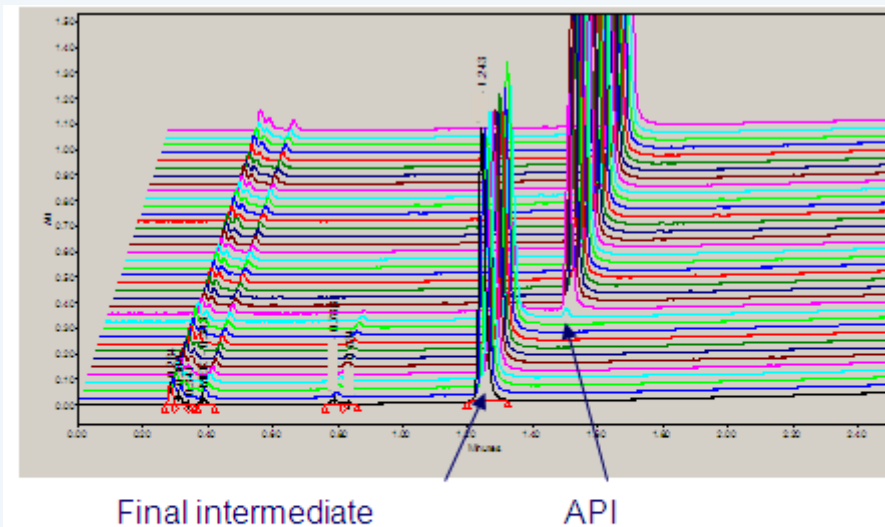
Developing Pharmaceutical Continuous Crystallization Processes - Knowledge & Gaps

**Chris Price on behalf of the IMI team
Product Development**

Context - Innovative Manufacturing Initiative

Move from Batch, to End to End Continuous Processing

Reaction → Extraction → Reaction → Reaction → Reaction



The power of PAT:
At line HPLC

The moment when the final reagent flow commences
final intermediate is consumed and API product is
formed

Extraction → Distillation → Crystallisation → Isolation

Task: Provide a multi-product, scalable, continuous particle formation & purification system delivering consistent API suitable for direct formulation.

Purity
Chem & Phase

Batch produced NCE A is recrystallized for phase control & is very pure. NCE A forms many solvates

Particle
Attributes

Particle size distribution, crystal habit, bulk properties suitable for direct formulation

Process
Understanding

Suitable for a QbD filing using on / at line process monitoring & control

Consistency

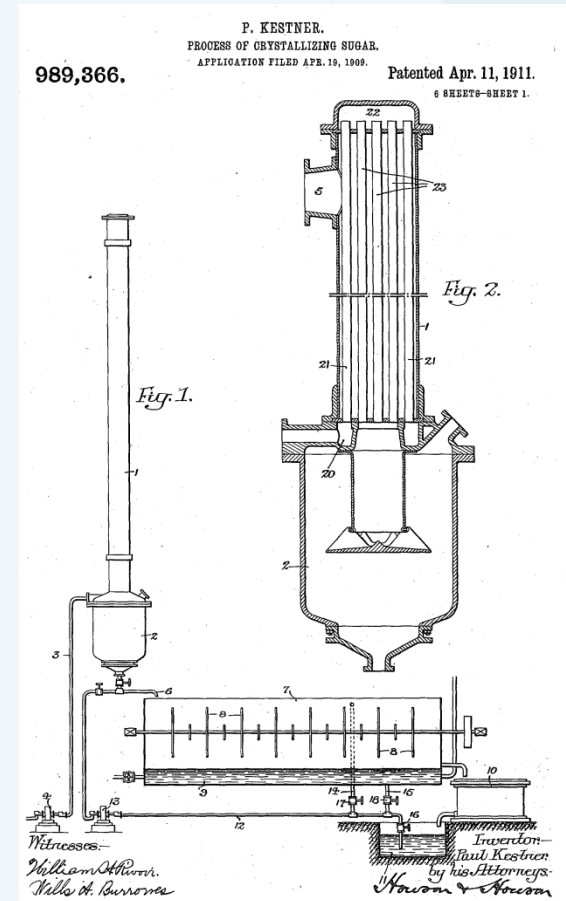
Consistent attributes over extended process duration over a range of scales

Multi product

Applicable to most NCEs without major equipment redesign

So what does 100 years of continuous crystallization teach us?

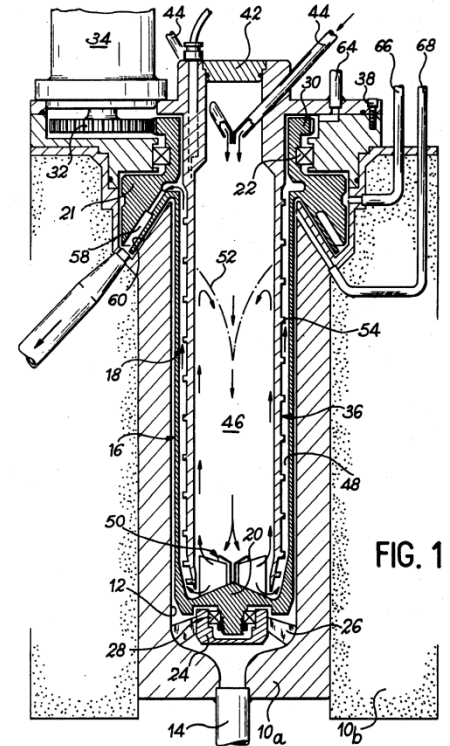
- To minimise encrustation operate at low supersaturation
- Slow growth delivers purification
- Suppress nucleation, to make large particles for easy separation
- Scale up / down is difficult
- Successful design requires an extensive data set collected at scale
- Operation at small scale is very challenging



How about continuous precipitation

- Potential to deliver fine particles
- Operate at high supersaturation to get high nucleation rates and minimal growth
- Delivers little purification
- Favours extreme crystal habits (needles / filaments)
- Large variations in special distribution of supersaturation make scale up difficult
- Risk of nucleating a meta-stable phase of polymorphic materials which then transforms during isolation

U.S. Patent Aug. 7, 1984 Sheet 1 of 3 4,464,341



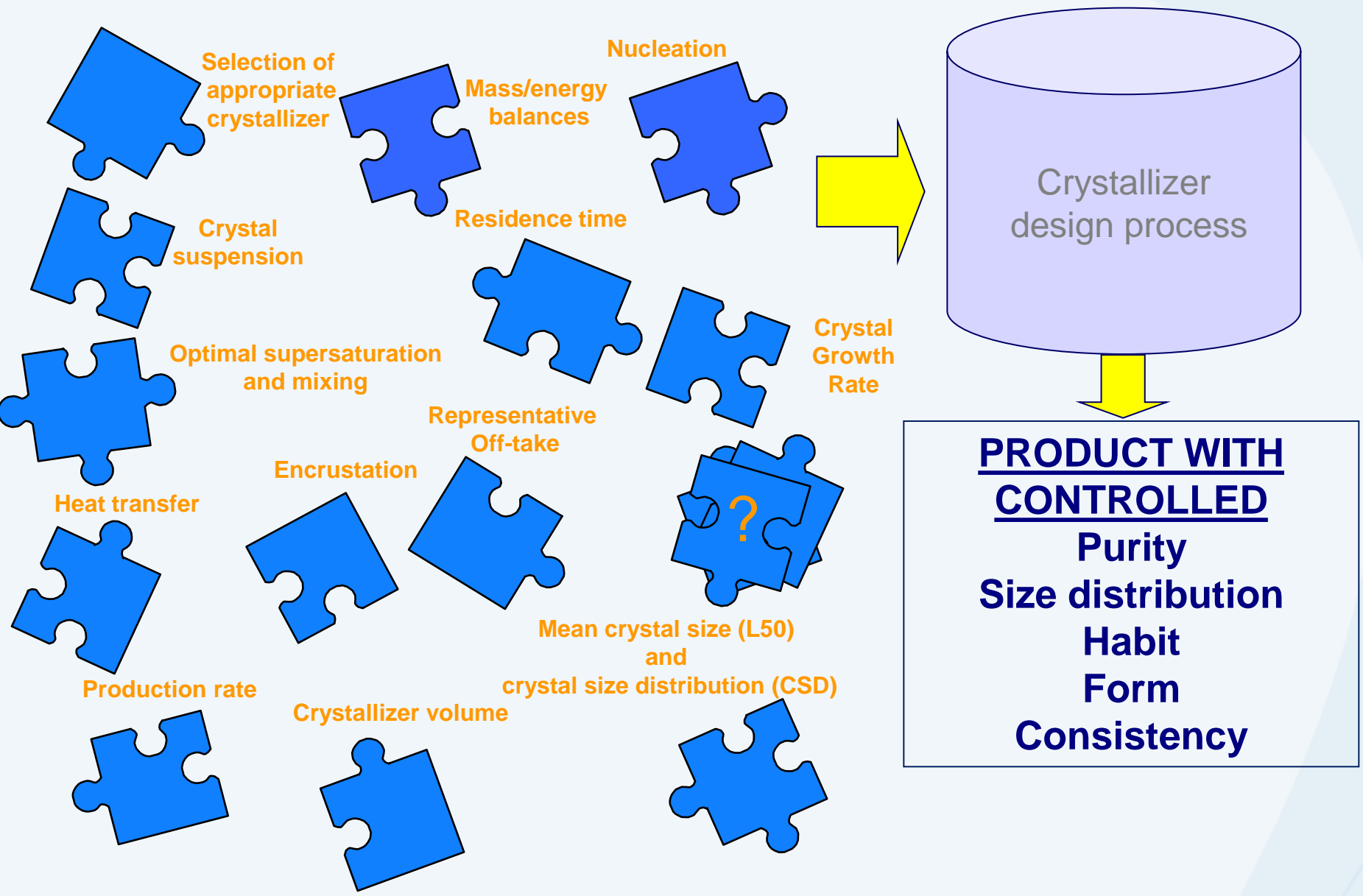
Ideas to take forwards

- Minimise encrustation by operating at low supersaturation
- Obtain purification by slow growth

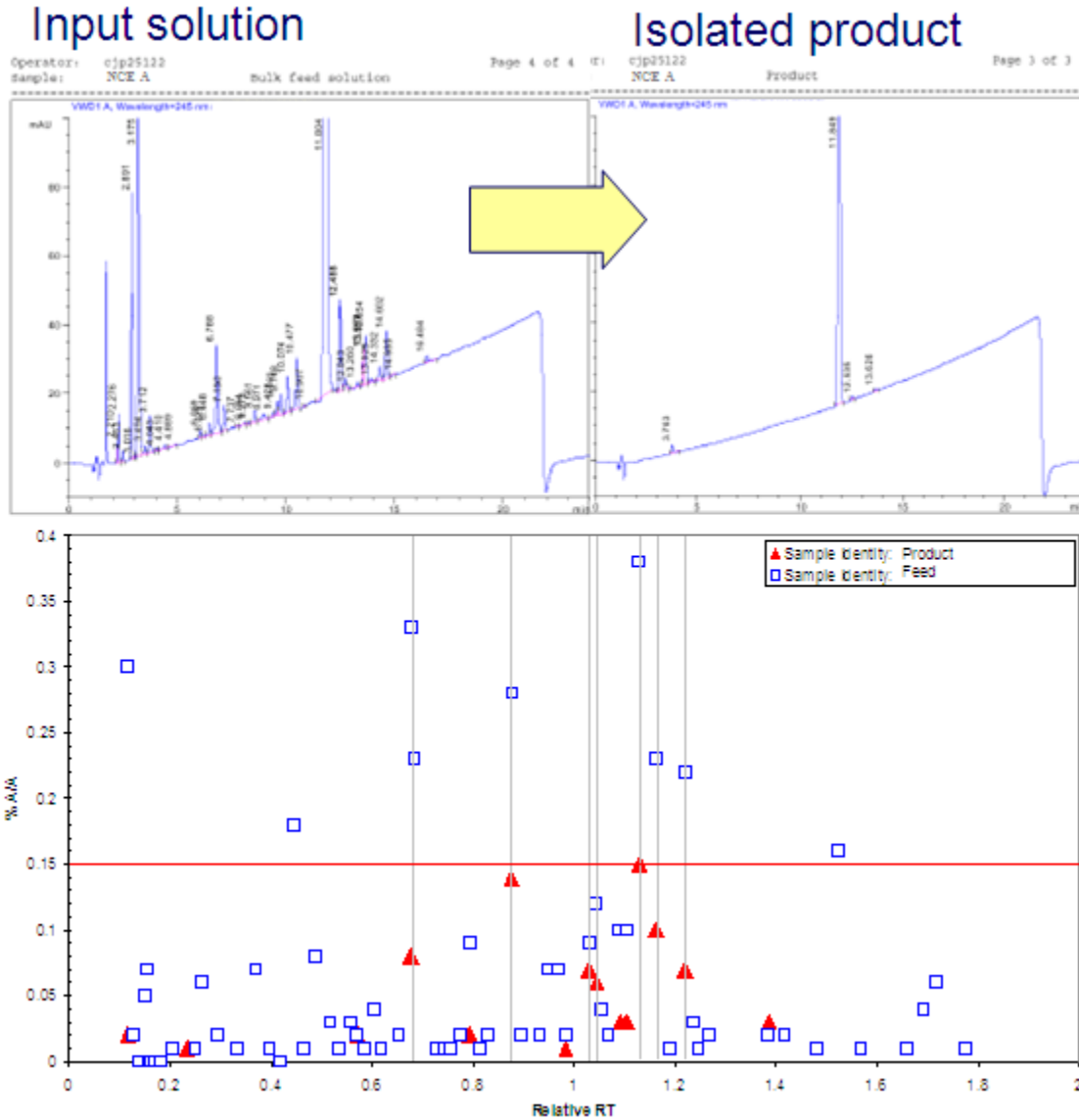
Manipulate nucleation rate independently of supersaturation to achieve target size

How?

Technical design aspects to consider



NCE A Purification

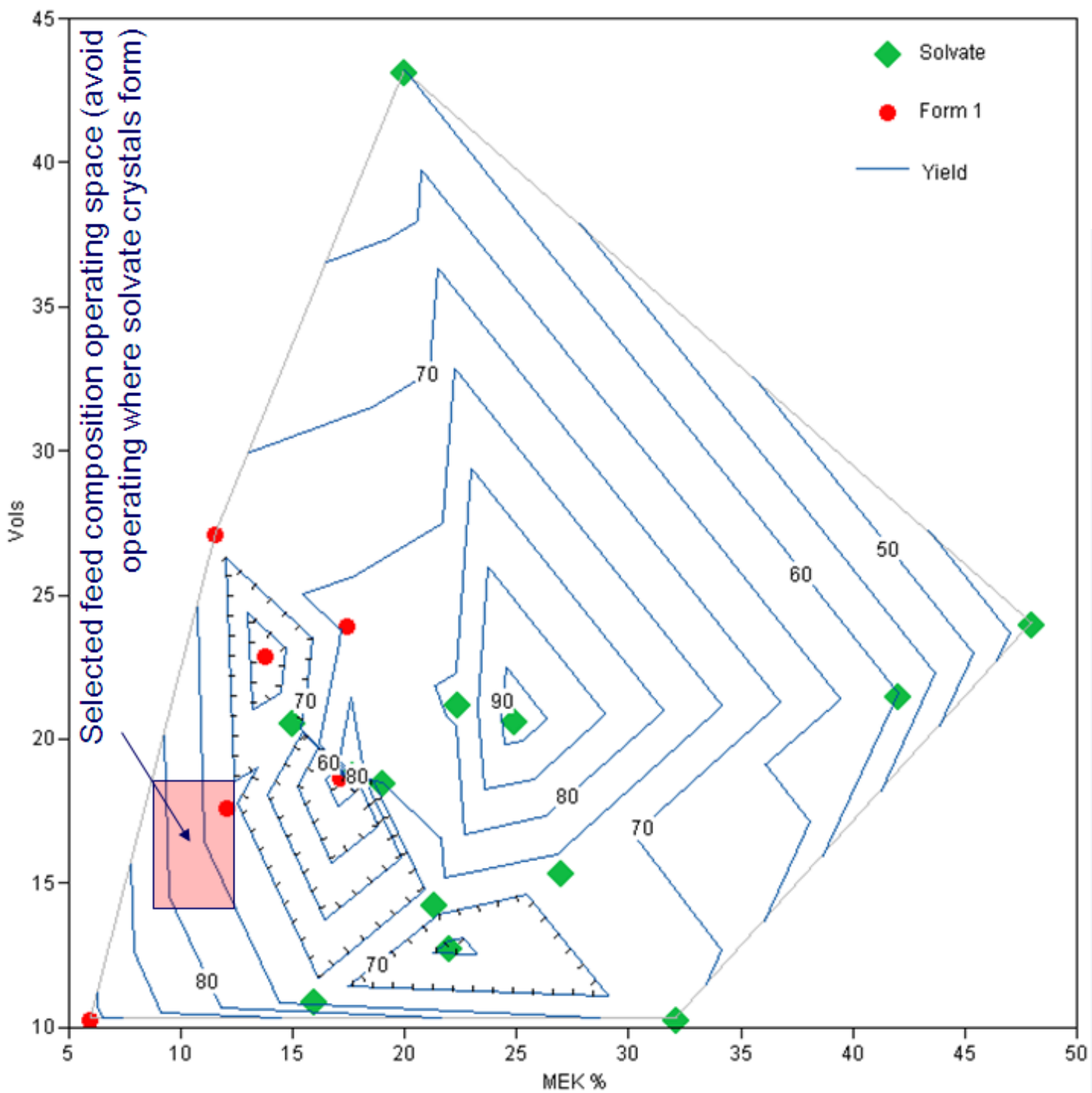


Crystallization achieves significant purification but a few impurities need to be controlled.

Control strategy:
Control input quality
Starting materials
Reaction conditions

Monitor reactions by on-line assay

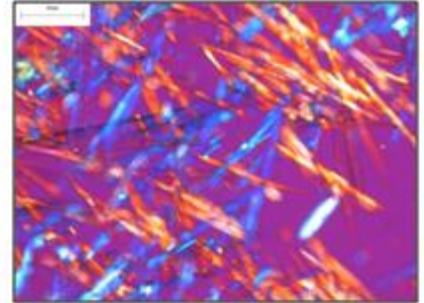
Control crystallization conditions.



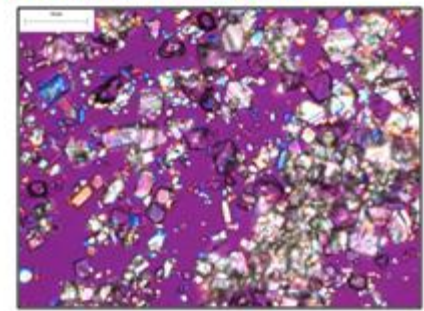
Solvates concerns dominate NCE A crystallization development

Batch DOE
To find level of MEK in MIBK to get yield & avoid MEK solvate

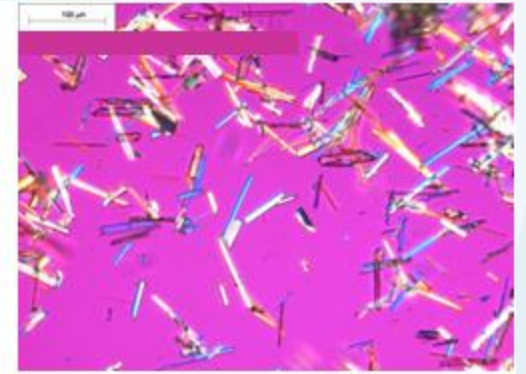
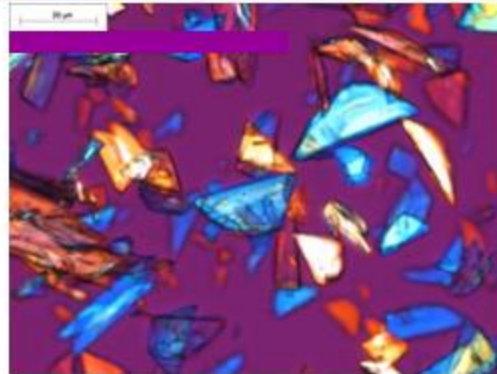
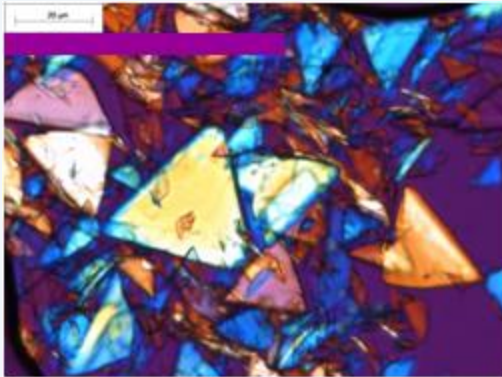
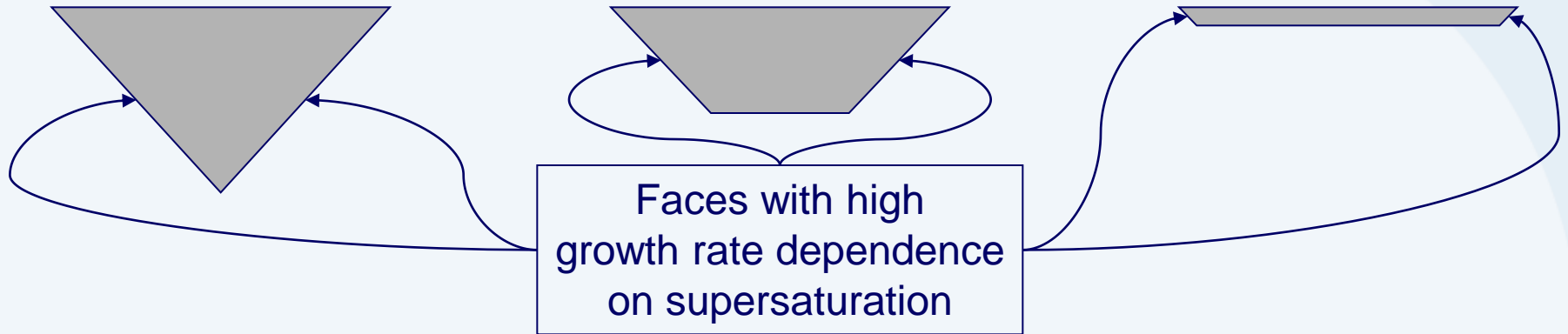
Low MEK concentration form unsolvated crystals



MEK > ca 15% tend to form MEK solvate

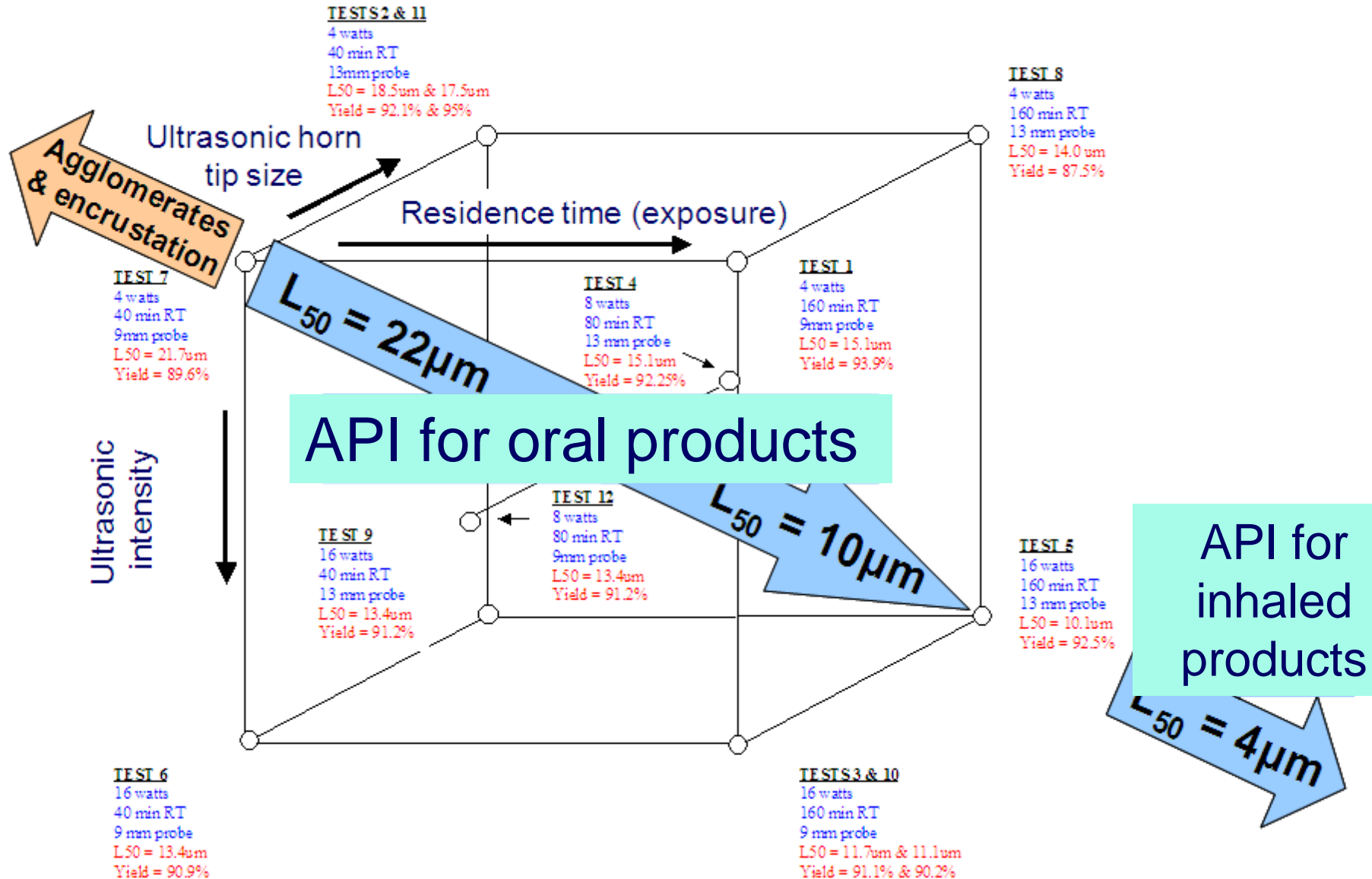


Impact of Supersaturation on Crystal habit



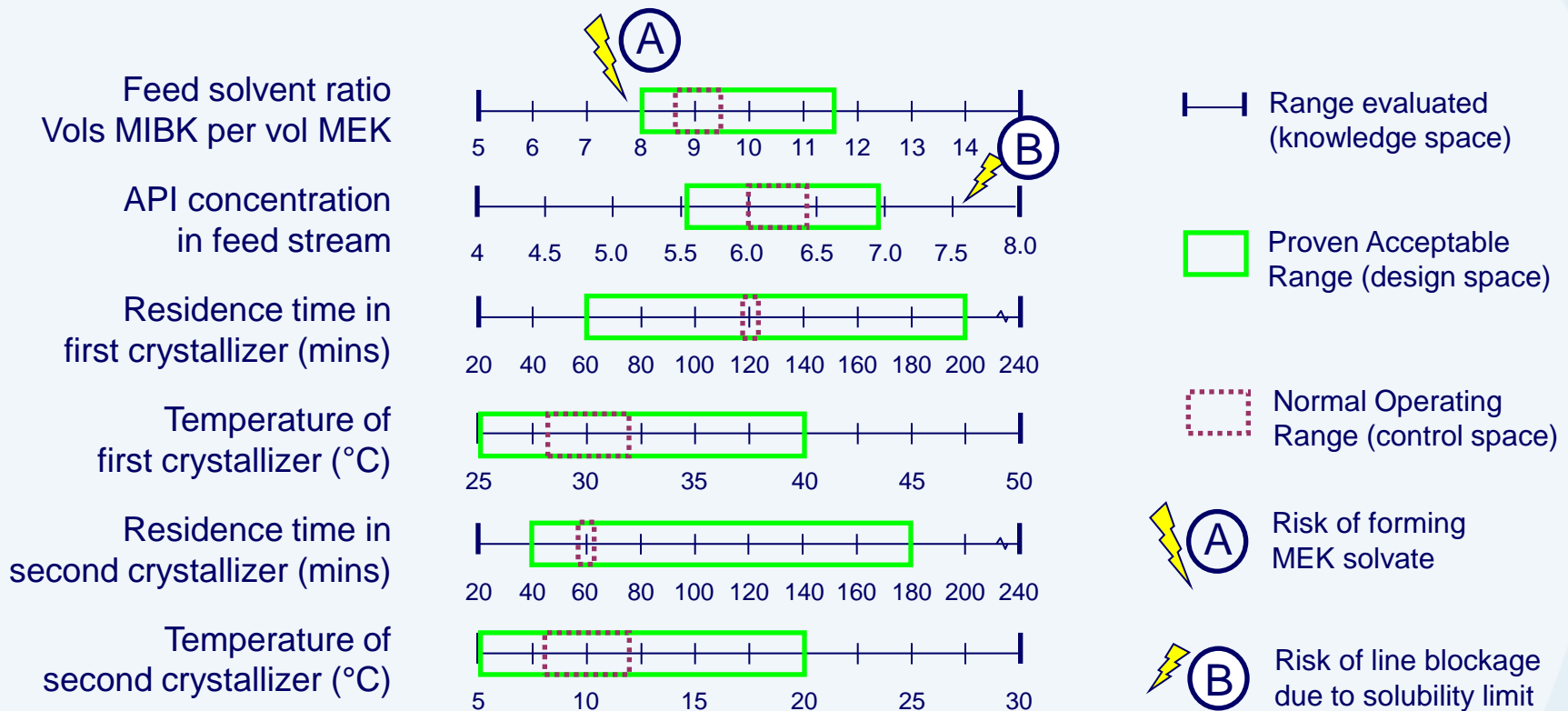
Decreasing Supersaturation

Particle Size Control - NCE A

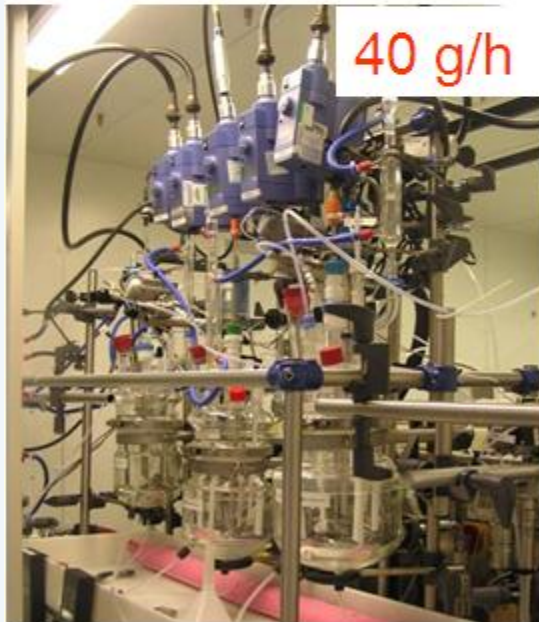


NCE A Crystallization Process Understanding

Strategy based on identification of process parameters and understanding their impact on process performance

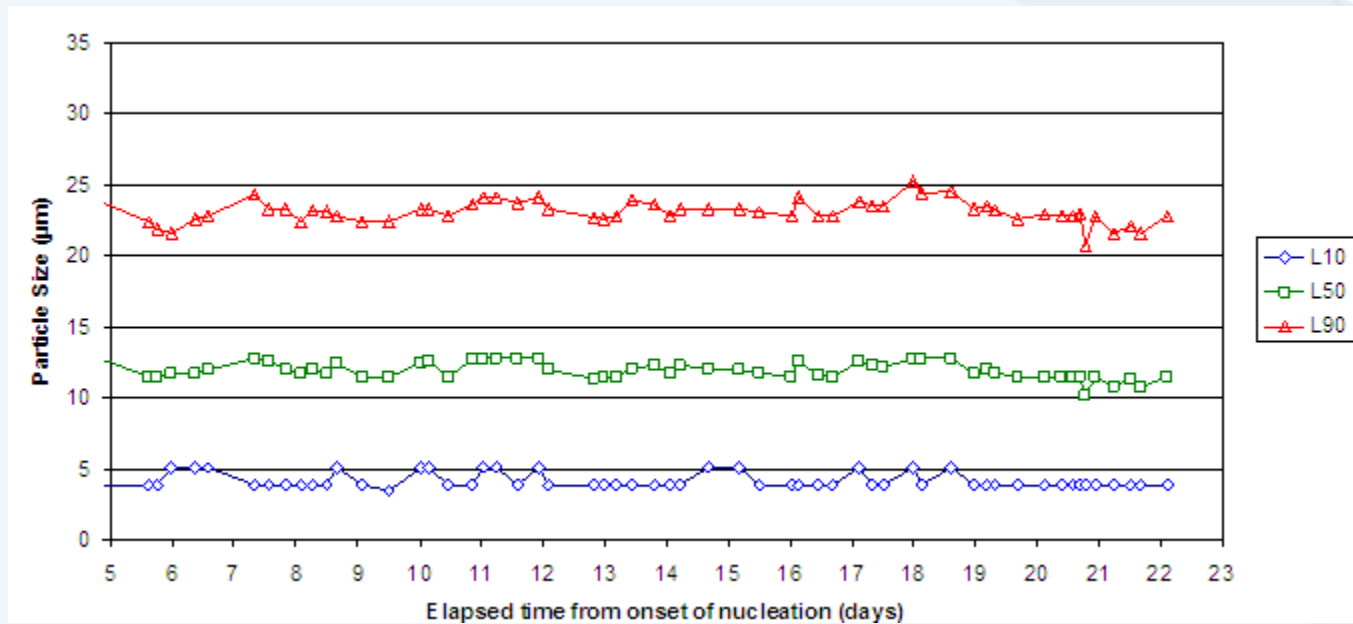


Scaling-up Continuous Crystallization

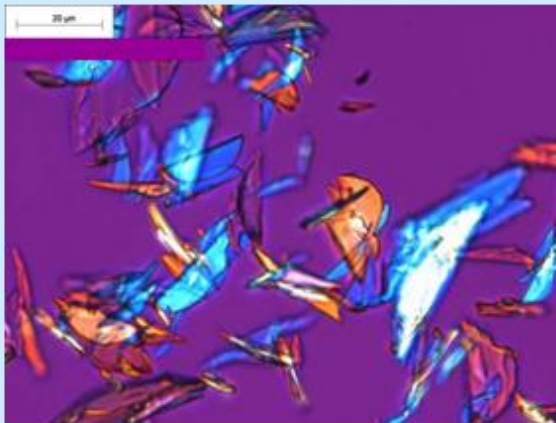


Delivering Consistent API

Consistency
During a
Manufacturing
Scale
Campaign

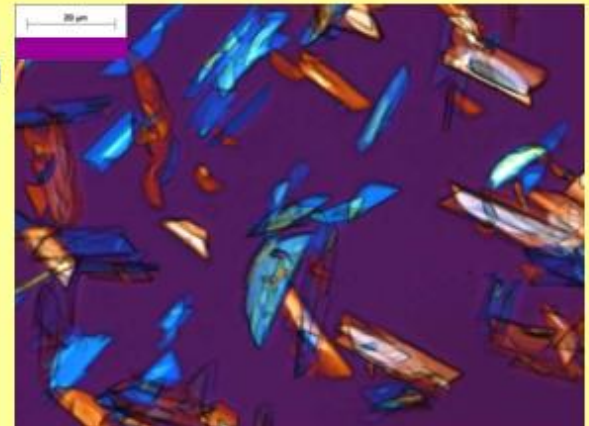


Consistency across scales – reliable scale-up

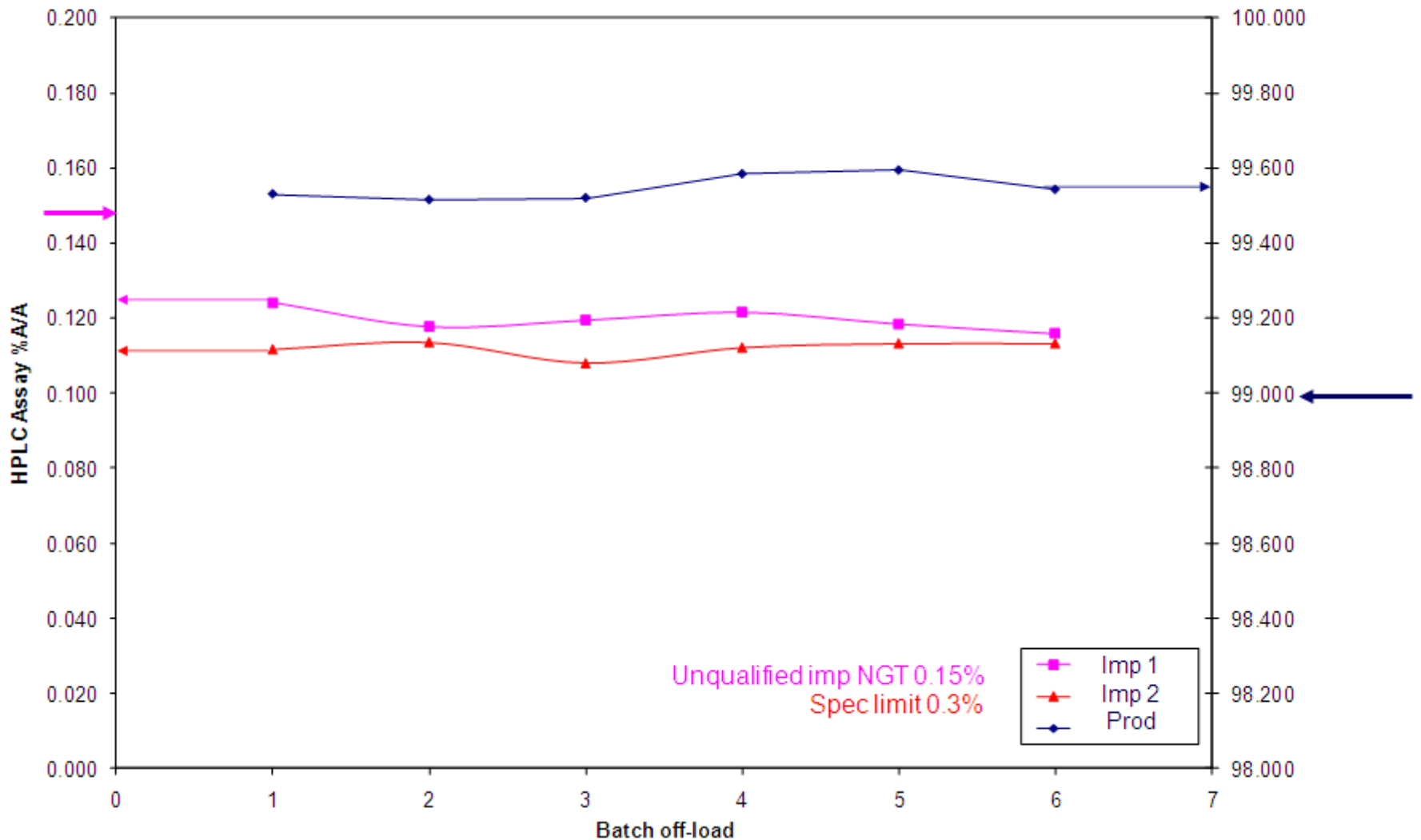


2005
Lab POC
L10 = 3.4 µm
L50 = 12 µm
L90 = 26 µm

2008
Plant Campaign
L10 = 3.8 µm
L50 = 12.7 µm
L90 = 24.3 µm



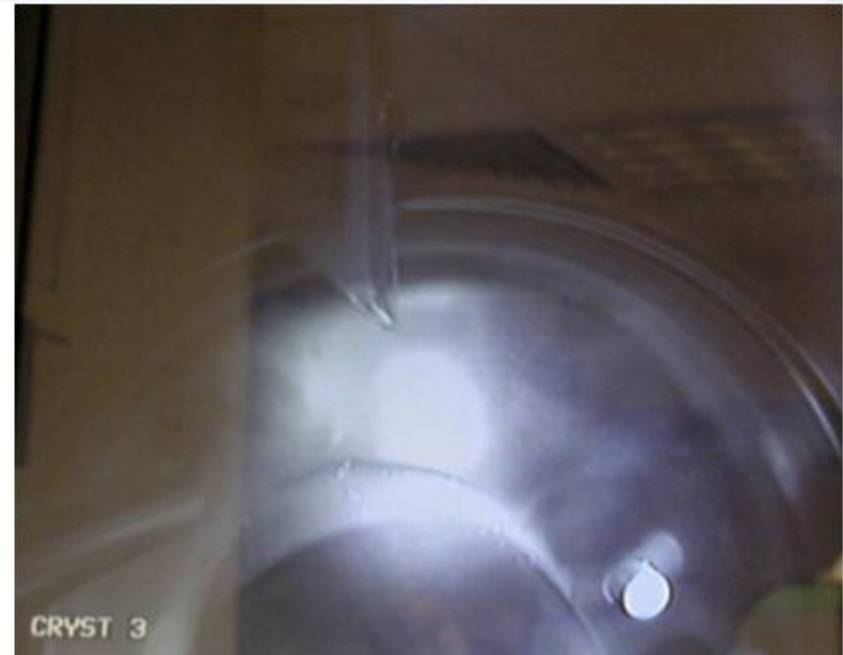
Consistency of purity and assay



NCE A Plant Campaign Managing Encrustation

Crystallizer 3 operated in pilot plant for >20 days without cleaning:
Final minutes of operation

Crystallizer drained but not washed



Encrustation was a significant issue during early stages of process development. Operating at low supersaturation minimises the problem. Building a cleaning procedure into the process has made long term operation possible

A Success

But is it applicable to other NCEs

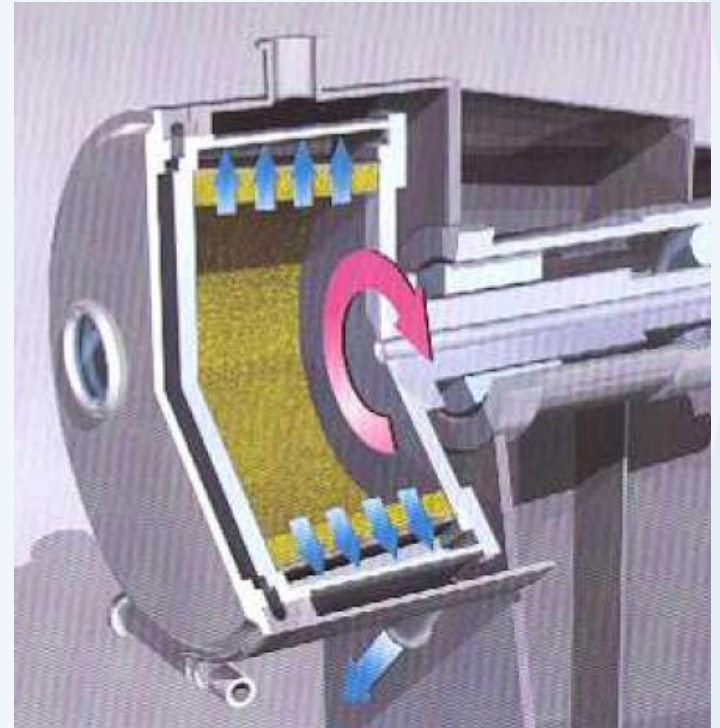
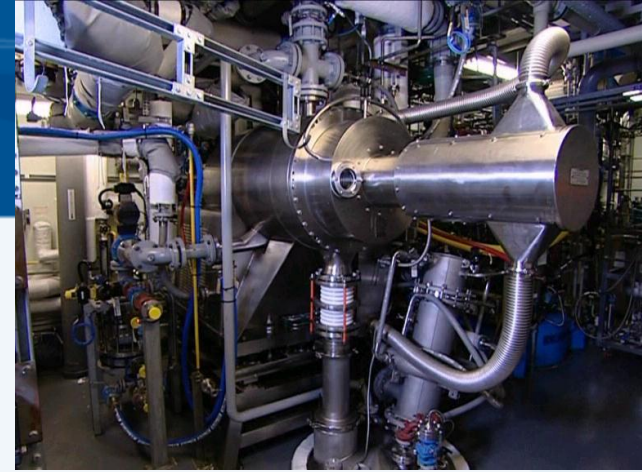
- NCE A
 - Combined cooling & anti-solvent crystallization
- NCE B
 - Isothermal anti-solvent crystallization to produce a hemi-hydrate
- NCE C
 - Reactive & cooling crystallization
- NCE D
 - Cooling crystallization of material which tends to oil dreadfully
- NCE E
 - Combined cooling & anti-solvent crystallization
- A, C & D run at plant scale for extended periods > 12 days
- B & E run at lab POC scale for >30 hours

Isolation of API

- Filter & wash API to remove impurities and leave wet in a non-solvent to allow drying without agglomeration / granulation.

A semi batch approach has been demonstrated a pilot scale using the FIMA, a combined centrifuge and dryer.

This necessitates the accumulation of a charge of product crystal slurry which is held for at least the duration of the isolation cycle.



A Continuous Crystallization Strategy for Pharma

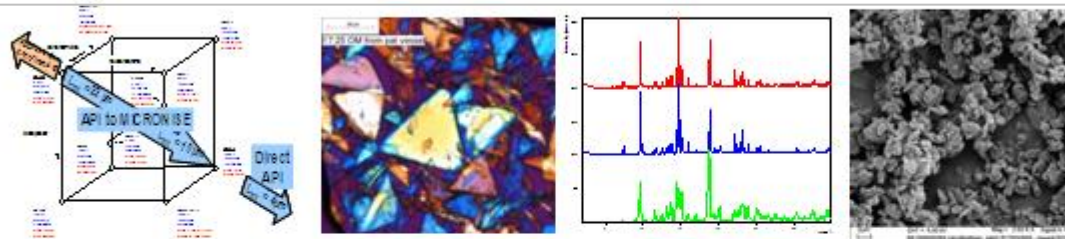
- ***Manipulating nucleation rate independently of growth rate*** allows control of the product particle size, operating at low supersaturation minimises encrustation, delivers purity & less extreme crystal habits.
- ***Operation with a high crystal surface area of small crystals*** allows a high mass of API to be crystallized per unit volume of solution even at low supersaturation. Thus the equipment is small compared with the conventional continuous crystallizers.
- Making small organic crystals of similar density to their mother liquors avoids hydrodynamic constraints encountered in conventional continuous crystallizer design.
- ***Artificially enhancing rather than suppressing nucleation allows us to avoid compound specific crystallizer design.***
- Proven for cooling, anti-solvent and reactive crystallization
- Particle sizes are typical of APIs and are controlled.

Success?

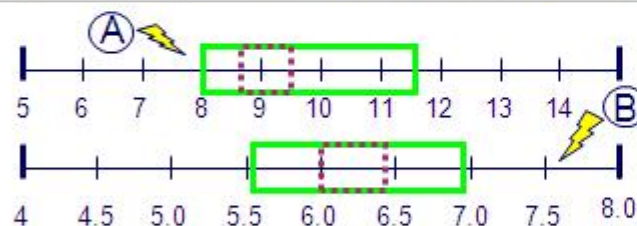
Purity
Chem & Phase



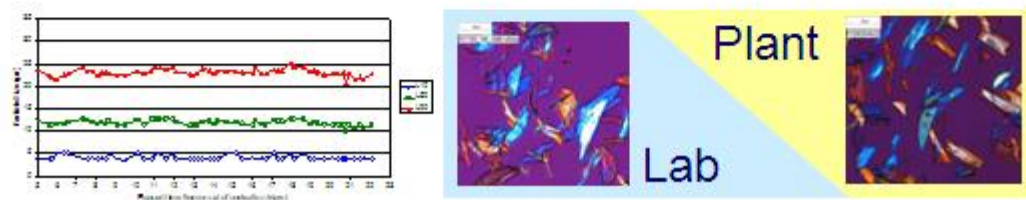
Particle
Attributes



Process
Understanding



Consistency



Multi product

Cooling, anti-solvent & reactive crystallizations of 5 different NCEs each with their own challenges run at scale for meaningful duration



So what about the gaps?

- Nucleation

- This approach requires a high secondary nucleation rate at very low supersaturation. There are several ways to do this well but our understanding is incomplete. There is more opportunity to improve the approach with a deeper understanding.

- Isolating the particles for direct formulation

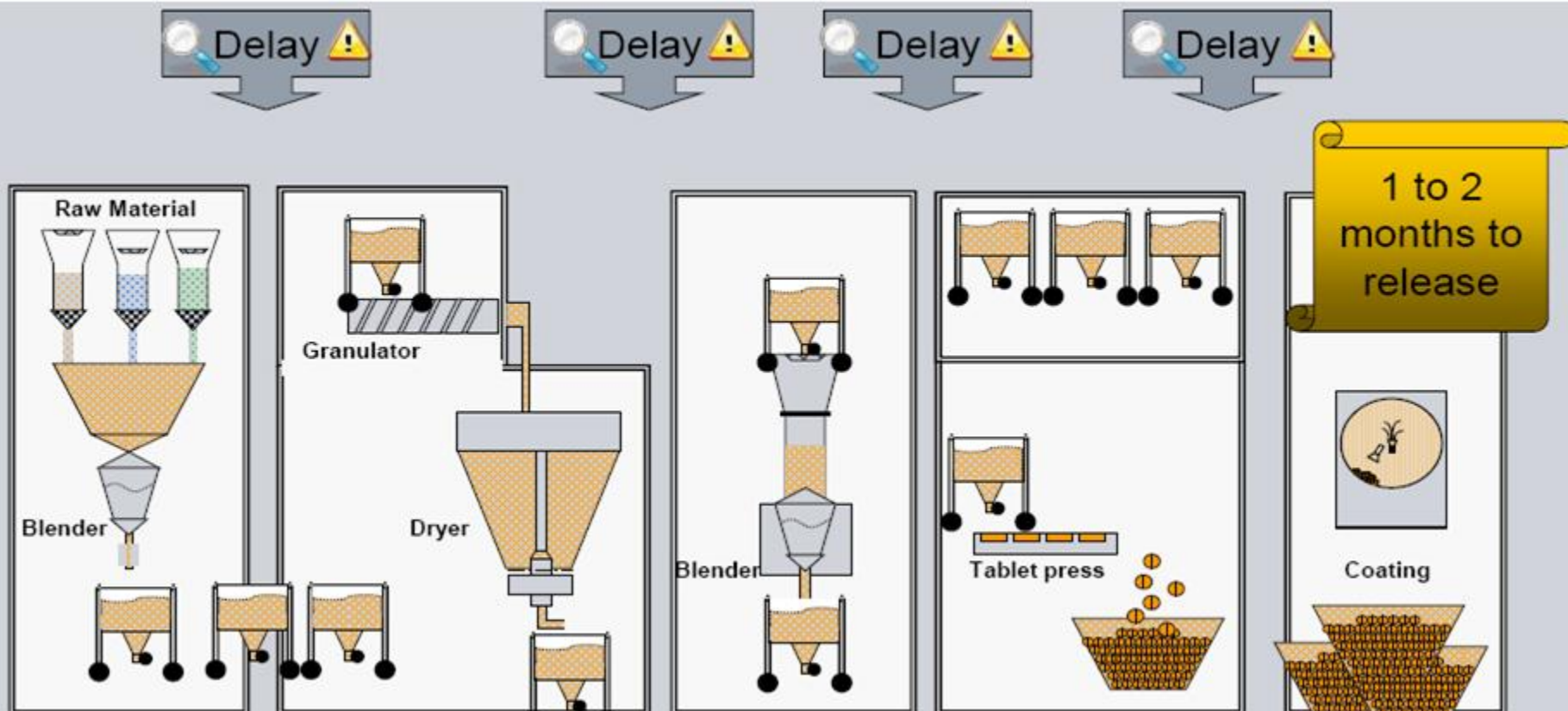
- Individual 2-20 μm particles of API tend to have poor powder properties. Harvesting them as granules and incorporating “functional excipients” seems attractive.

- Linking continuous primary & secondary manufacture

- An attractive future state

Secondary Processing

Benefits - Batch vs continuous approach- potential to streamline



Total processing + release + packing = 2days
Elapsed time 30-60 days

Continuous processing can help avoid this.

Possible to have raw materials to product in minutes/ hours

Online analysis/parametric release required but continuous facilitates this

Working Towards Fault-Tolerant Control

...“*fault handling* utilizes an integrated fault diagnosis system (MSPC) to allow fault-tolerant control”....

i.e. only stop when there is a real risk to quality.

